

The background is a solid teal color. It features two large, abstract, darker teal shapes. One shape is a long, thin triangle pointing to the right, located in the upper half of the page. The other shape is a wider, shorter triangle pointing to the left, located in the lower half of the page. These shapes create a sense of depth and movement.

APPENDIX A

FUNDAMENTALS OF THE
NATIONAL AIRSPACE SYSTEM

Fundamentals of the National Airspace System

The National Airspace System is an interconnected system of airports, airways, air traffic facilities and navigational aids. These elements of the NAS are operated and supported by airport employees, air traffic controllers, technicians, airspace specialists, and others.

Airports, air traffic facilities and equipment, and navigational aids are static physical components of the NAS. Over longer periods, airports may be expanded as new runways, taxiways, and terminal buildings are built; new air traffic facilities may be built and air traffic equipment and navigational aids modernized. In contrast, the condition of the airways changes continuously, as they are affected by changing weather, winds, and traffic. This chapter describes both the static and dynamic elements of the NAS.

A.1 Airports in the United States

Although there are more than 19,000 airports in the United States, the FAA considers only 3,364 existing airports to be significant to the capacity of the NAS. These airports are included in the National Plan of Integrated Airport Systems (NPIAS) and are thereby eligible to receive Federal grants under the Airport Improvement Program. Within the NPIAS, airports are divided into two major categories: commercial service airports and general aviation airports.

A.1.1 Commercial Service Airports

Commercial service airports are public airports receiving scheduled passenger service and having 2,500 or more passenger enplanements. Of the 535 commercial service airports, the 401 airports that have more than 10,000 annual enplanements are classified as primary airports. Those commercial service airports enplaning from 2,500 to 10,000 passengers annually are classified as “other” commercial service airports.

Within the primary airport classification, the term hub is used to identify very busy commercial service airports. This use is different from that of the airline industry, where a hub is an airport where passengers connect with other flights coming from the spokes of the system. The NPIAS term does not differentiate between airports with mostly connecting traffic and those with mostly origin-destination traffic. The primary airports are divided into large-hub, medium-hub, small-hub, and non-hub airports, based on their annual enplanements. Large-hub airports are those that account for at least one percent of total U.S. passenger enplanements. Medium hubs are airports that account for between 0.25 percent and one percent of total passenger enplanements and small hubs from 0.05 percent to 0.25 percent of total passenger enplanements. Commercial service airports that enplane less than 0.05 percent of total passenger enplanements but more than 10,000 annually are classified as non-hub primary airports.

The number of large-hub, medium-hub and small-hub airports varies from year-to-year because the classification is based on a percentage of total passenger enplanements rather than on a fixed number. For example, from 2000 to 2001, while the number of large hubs stayed the same at 31, the number of medium hubs increased from 35 to 36, and the number of small hubs decreased from 71 to 68.

Traffic in the United States is concentrated at the largest airports. The 31 large-hub airports handled 69.2 percent of passenger enplanements in 2001, the 36 medium-hub airports handled 19.8 percent, and the small hubs another 7.6 percent. Collectively, the

135 hub airports had 96.6 percent of total passenger enplanements. The remaining 266 primary airports had only 3.3 percent of enplanements, while the 134 non-primary commercial service airports had only 0.1 percent of enplanements.

A.1.2 General Aviation Airports

The FAA classifies airports that have fewer than 2,500 annual enplanements or do not receive any scheduled commercial service as general aviation airports. They are included in the NPIAS if they account for enough activity (generally defined as having at least 10 based aircraft) and are at least 20 miles from the nearest other NPIAS airport.

The 2,829 NPIAS general aviation airports are divided into reliever and general aviation airports. Relievers are high capacity general aviation airports in major metropolitan areas that provide general aviation pilots and aircraft with attractive alternatives to using congested commercial service airports. There were 260 relievers in 2001, including important airports such as Merrill Field in Anchorage, Alaska; Teterboro Airport in New Jersey near New York City; and Van Nuys in California. The remaining 2,569 general aviation airports typically serve rural areas, and have very little, if any, commercial service.

Although relievers and other general aviation airports have little commercial service, they do have a small number of passenger enplanements, primarily provided by air taxi operators. In 2001, there were 1,999 general aviation airports that had some enplanements, but which together totaled only 0.1 percent of passenger enplanements.

A.2 Airspace in the United States

Airspace in the United States is managed by the FAA to provide for its orderly and safe use. The NAS includes all airspace over the United States from 60,000 feet down to, but not including, the ground. Over the years, the FAA has promulgated numerous regulations that divide the airspace into different classifications and provide complex rules for operating within each classification.

A.2.1 Classes of Airspace

The national airspace is divided into two broad categories, controlled (Classes A through E airspace) and uncontrolled (Class G airspace). Within these two categories, there are a number of classifications that determine the flight rules, pilot qualifications, and aircraft capabilities required to operate within any section of the airspace. The specific classification of any area is broadly based on the complexity and density of aircraft movements, the nature of operations conducted within the airspace, the level of safety required, and the national and public interest. The six classes of U.S. airspace are described below.

Class A Airspace

All airspace from 18,000 Mean Sea Level (MSL) to 60,000 MSL, including the airspace overlying the waters within 12 nautical miles of the coast of the contiguous 48 states and Alaska. All operations within Class A airspace must be under Instrument Flight Rules and are under the direct control of FAA controllers. Class A airspace always starts at 18,000 MSL and it is not specifically charted.

Class B Airspace

Airspace surrounding the nation's busiest commercial service airports. At its core it extends from the surface up to 10,000 MSL. Class B airspace is charted on sectional charts, IFR en route (low altitude) charts, and terminal area charts.

Class C Airspace

Airspace surrounding airports of mid-sized cities with a large number of commercial flight operations; it extends from 700 feet Above Ground Level (AGL) to 4,000 AGL. An operating control tower at the primary airport and radar services are key components of Class C airspace.

Class D Airspace

This airspace is applied to airports with operating control towers where the traffic volume does not meet Class C or Class B standards. This area encompasses 700 AGL to 2,500 AGL.

Class E Airspace

This airspace class includes all airspace from 14,500 MSL up to, but not including 18,000 feet MSL. Class E airspace also includes all other controlled airspace necessary for IFR operations at lower altitudes but not already classified as A, B, C, or D. This includes features such as low level airways (victor airways) and IFR transition areas.

Class G Airspace

Class G airspace is uncontrolled airspace and includes all airspace not otherwise designated as A, B, C, D, or E. Operations within Class G airspace are governed by the principle of "see and avoid."

A.2.2 En Route and Terminal Airways and Jet Routes

En route airspace in the United States consists of routing corridors used by both IFR and VFR traffic. Traffic is concentrated along these routes. Low altitude airways, termed victor airways, are the primary routes used by both IFR and VFR traffic. They are eight nautical miles wide and generally go from 1,200 feet above ground level up to, but not including, 18,000 MSL. The airway floor may be higher in areas of the western U.S. where terrain interferes more with the navigational facilities upon which the airways are based. They are depicted on aeronautical charts as blue shaded lines with a "V" (hence the term victor), followed by a number, such as V500, and are found on the sectionals, IFR en route low altitude charts, and terminal area charts.

Jet routes serve the same function as the low altitude airways except that they are found at 18,000 MSL and above (up to 45,000 MSL). Traffic on the jet routes is always IFR and is managed by air traffic control. Jet routes are shown on high altitude charts as a gray line and are designated by the letter "J," followed by a number, such as "J547."

A.2.3 Special Use Airspace

Special use airspace (SUA) is designed to segregate flight activity related to military and national security needs from other airspace users. Although most SUA involves military

activity, other areas involve civilian users such as the Department of Energy or the U.S. Secret Service.

Special Use Airspace is established by the FAA, usually at the request of the affected civilian agency or military branch. There are six different kinds of special use airspace: Prohibited Areas, Restricted Areas, Military Operations Areas, Alert Areas, Warning Areas, and Controlled Firing Areas.

Prohibited Areas

Prohibited areas are established over sensitive ground facilities such as the White House, presidential homes, and Camp David. All aircraft are prohibited from flight operations within a prohibited area unless specific prior approval is obtained from the FAA or the controlling agency.

Restricted Areas

Restricted areas are established in areas where ongoing or intermittent activities occur that create unusual hazards to aircraft, such as artillery firing, aerial firing, and missile testing. Restricted areas differ from prohibited areas in that most of them have specific hours of operation. Entry during those hours requires specific permission from the FAA or the controlling agency.

Military Operations Areas

Military Operations Areas (MOA) are established to contain certain military activities, such as air combat maneuvers, intercepts, and acrobatics. Civilian flights are allowed within an MOA even when the area is in use by the military. Air traffic control will provide separation services to IFR traffic.

Alert Areas

Alert Areas contain a high volume of pilot training or an unusual type of aerial activity, such as helicopter activity near oil rigs, which could present a hazard to other aircraft. There are no special requirements for operations within alert areas other than heightened vigilance.

Warning Areas

Warning areas contain the same kind of hazardous flight activity as restricted areas (artillery firing, aerial gunnery, etc.), but are located over domestic and international waters. Warning areas generally begin three miles offshore.

Controlled Firings Areas

Controlled firing areas contain civilian and military activities that could be hazardous to non-participating aircraft, such as rocket testing, ordinance disposal, and blasting. They are different from prohibited and restricted areas in that radar or a ground lookout is used to indicate when an aircraft is approaching the area, at which time all activities are suspended.

A.3 Air Traffic Control Facilities and Equipment

Air traffic control specialists ensure that air traffic moves safely and efficiently through the NAS. That traffic includes not only commercial flights, but also military and general aviation flights.

Air traffic control is provided by three general classes of controllers, each resident in different types of facilities and responsible for a different phase of flight. First, ground and local controllers at Air Traffic Control Towers at airports handle aircraft from the gate to the taxiway and runway, through the take-off, and at the other end of the flight, from landing back to the gate. Second, radar controllers at the Terminal Radar Approach Control facilities handle aircraft from take-off to a cruising altitude at the origin (departure control) and return them through their approach at the destination (approach control). Third, en route controllers working at Air Route Traffic Control Centers manage the flow of traffic along the airways between the terminal areas.

The overall flow of aircraft across the entire United States is managed by the Air Traffic Control System Command Center in Herndon, Virginia. In addition, flight service stations provide important pre-flight and in-flight services to general aviation pilots. The functions of each of these air traffic control facilities are described briefly below.

A.3.1 Air Traffic Control Towers

Air Traffic Control Towers (ATCT) at more than 400 airports control the effective movement of traffic both on the ground and in the air within approximately five nautical miles of the airport and up to an altitude of 3,000 feet. Air traffic controllers rely on a combination of technology and visual surveillance to direct aircraft departures and approaches, maintain safe distances between aircraft, and communicate weather-related information, clearances, and other instructions to pilots.

A.3.2 Terminal Radar Approach Control Facilities

Over 185 Terminal Radar Approach Control (TRACON) facilities sequence and separate aircraft as they approach and depart major metropolitan areas. TRACONs typically control air traffic within a 30-mile radius and less than 15,000 feet altitude, exclusive of ATCT airspace. The FAA classifies TRACONs by the number of aircraft activities that they provide. An aircraft activity is any contact between a controller and an aircraft, so the number is substantially greater than the number of aircraft operations, which includes just, take offs and landings. Activities also include other measures.

The traffic within terminal airspace consists mostly of takeoffs and landings to and from the airports in its area, but also includes air traffic that is overflying the area. Terminal airspace is divided into sectors that can be modified, based on the runway configurations in use by the airports within that TRACON's airspace.

A.3.3 Air Route Traffic Control Centers

Twenty-one Air Route Traffic Control Centers (ARTCCs) control and monitor aircraft in transit over the United States and during approaches to some airports. Each en route center handles a different region of airspace, passing control from one to another as respective borders are reached until the aircraft reaches TRACON airspace or leaves U.S. airspace.

Three centers—Oakland, New York and Anchorage—also control aircraft over the ocean. Outside radar range, which extends only 175 to 225 miles offshore, controllers must rely on periodic radio communication of position reports to determine an aircraft's location.

The centers are designated by a three-letter code that begins with Z; for example, the Cleveland center is designated ZOB. The size of the airspace managed by a center varies substantially, but typically consists of tens of thousands of square miles extending over several states.

A.3.4 Air Traffic Control System Command Center

The Air Traffic Control System Command Center (ATCSCC) in Herndon, Virginia monitors traffic flows across the United States and communicates with other air traffic facilities and airline operating centers to minimize congestion and delays due to adverse weather, equipment outages, closed runways, and other capacity-related circumstances. The Command Center is one of the key parts of the FAA's evolving plan for management of an ever-increasing amount of air traffic.

A.3.5 Flight Service Stations

The air traffic control specialists at flight service stations provide pre-flight and in-flight services to pilots, primarily those in general aviation. The specific services provided include flight plan filing; pre-flight and en route weather briefings that include the status of navigational aids; airport condition reports; search and rescue operations; assistance to lost or disoriented aircraft pilots; provision of instrumental flight rule and special visual flight rule clearances, soliciting pilot reports on flying conditions, and providing special services such as customs and immigration.

Pilots can receive these services by visiting a flight service station, by telephone, or through air-to-ground communications. The flight service stations also provide a weather briefing and flight plan processing service through the Direct User Access Terminal Service (DUATS), which can be accessed via toll-free telephone service.

A.4 Navigational Aids

An extensive network of facilities, generally known as navigational aids, or nav aids, supports aircraft movement in the NAS. Pilots use en route nav aids to guide aircraft from the vicinity of one airport to another. A typical en route nav aid is the very high frequency omnidirectional range (VOR), which provides magnetic bearing information so that a pilot can determine the aircraft's position relative to the transmitter or its absolute position through triangulation with another en route nav aid.

Other nav aids help a pilot descend from cruising altitude to land on an airport runway. The instrument landing system (ILS), which consists of a localizer for horizontal guidance and a glide slope for vertical guidance, provides instrument approach capability to the runway during low visibility. The localizer is placed beyond the stop end of the runway, aligned with the centerline. The glide slope is located beside the runway, near the touchdown point. An ILS may be certified as Category (CAT) I, II, or III, depending on its equipment configuration and system capabilities.

Lighting systems, such as the precision approach path indicator (PAPI) and runway end identification lights (REIL), are navaids that provide pilots with visual cues to assist them in making safe approaches and landings.

The FAA plans to move from this system of ground-based navaids to a satellite-based system called the global positioning system (GPS). The basic GPS system is already being used by pilots for navigation in oceanic and en route airspace. Differential GPS will augment, and eventually replace, many of the ground-based navaids discussed above. The FAA anticipates that the wide area augmentation system (WAAS) will provide en route, terminal, non-precision approach and selected CAT I precision approach capability throughout the NAS. The GPS local area augmentation system (LAAS) will provide CAT II and III precision approach and landing capability.